



FLORIDA DEPARTMENT OF  
**EDUCATION**  
CAREER AND ADULT EDUCATION

**Quality Assurance and Compliance  
Virtual Desk Monitoring Review  
for  
Florida Advanced Technological Education Center  
(FLATE)**

**FloridaMakes, Inc.**

**December 12-16, 2022**

**Final Report**

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Florida Department of Education  
Division of Career and Adult Education

**FloridaMakes, Inc.**  
**Quality Assurance and Compliance Monitoring Report**

**I. INTRODUCTION**

The Florida Department of Education (FDOE), Division of Career and Adult Education (division), in carrying out its roles of leadership, resource allocation, technical assistance, monitoring and evaluation, oversees the performance and regulatory compliance of federal and state funding recipients. The Quality Assurance and Compliance (QAC) section is responsible for the design, development, implementation and evaluation of a comprehensive system of quality assurance, including monitoring. The role of the quality assurance system is to assure financial accountability, program quality and regulatory compliance. As stewards of federal and state funds, it is incumbent upon the division to monitor the use of workforce education funds and regulatory compliance of providers on a regular basis.

**II. AUTHORITY**

The FDOE receives federal funding from the U.S. Department of Education (USED) for Career and Technical Education (CTE) under the Carl D. Perkins (Perkins) Strengthening Career and Technical Education for the 21<sup>st</sup> Century Act and Adult Education (AE) under the Workforce Innovation and Opportunity Act (WIOA) of 2014. FDOE awards sub-grants to eligible providers to administer local programs. FDOE must monitor providers to ensure compliance with federal requirements, including Florida's approved state plans for CTE and Adult Education/Family Literacy. Each state shall have procedures for reviewing and approving applications for sub-grants and amendments to those applications, for providing technical assistance, for evaluating projects and for performing other administrative responsibilities the state has determined are necessary to ensure compliance with applicable statutes and regulations pursuant to 34 CFR 76.770, Education Department General Administrative Regulations (EDGAR) and the Uniform Grant Guidance (UGG) for grant awards issued on or after December 26, 2014. The Florida Department of Education, Division of Career and Adult Education, is required to oversee sub-grantee performance in enforcing all laws and rules (Sections 1001.03(8) and 1008.32, Florida Statutes).

**III. QAC CORE MONITORING GUIDE**

The CMG is intended to be used broadly by any reviewer conducting an onsite or desk monitoring of any program currently administered by the division. The guide provides a summary of each facet of the monitoring design and the process. It also contains objectives that may be used as agencies are monitored or reviewed. It is located on the division's website at <http://fldoe.org/academics/career-adult-edu/compliance>.

**IV. PROVIDER SELECTION**

Various sources of data are used throughout the implementation of the quality assurance system. The monitoring component of the system is risk-based. Risk assessment is a process used to evaluate variables associated with the grants and assign a rating for the level of risk to the department and the division. A risk matrix identifying certain operational risk factors is completed for each provider. The risk matrix for each program monitored is located in Appendix A. The results of the risk assessment process and

consideration of available resources are used to determine one or more appropriate monitoring strategy(ies) to be implemented.

The QAC section may apply any specific monitoring strategy to any federal or state-funded provider. Some circumstances may warrant onsite monitoring, desk monitoring review or other strategies regardless of a provider's risk matrix score.

The monitoring strategy for FloridaMakes, Inc. (FloridaMakes) was determined to be a virtual desk monitoring review (VDMR). Notification was sent to Marcello Dossantos, executive coordinator, FloridaMakes, Inc. on July 30, 2022. Mr. Ernie Friend served as the designated contact for the VDMR.

The representative of the division conducting the VDMR was program specialist, Mr. Charles Davis, of the Quality Assurance and Compliance section.

#### **V. FloridaMakes, Inc.**

The provider was awarded the following grants for FY's 2020-21, 2021-22 & 2022-23.

##### **Finance**

##### **FY 2020-21**

<u>Grants</u>	<u>Grant Number</u>	<u>Grant Amount</u>	<u>Unexpended</u>
Perkins FLATE	48M-1621B-1P001	\$ 200,000.00	\$ 5,321.43

##### **FY 2021-22**

<u>Grants</u>	<u>Grant Number</u>	<u>Grant Amount</u>	<u>Unexpended</u>
Perkins FLATE	48M-1622B-2P001	\$ 200,000.00	\$ 1,358.21

##### **FY 2022-23**

<u>Grants</u>	<u>Grant Number</u>	<u>Grant Amount</u>	<u>Unexpended</u>
Perkins FLATE	48M-1623B-3P001	\$ 390,000.00	\$ 339,039.53

Additional information about the provider may be found at the following web address:

<https://www.floridamakes.com/home> .

#### **VI. MONITORING ACTIVITIES**

The monitoring activities included pre- and post-visit planning, an entrance and exit telephone conference, records review and interviews with administrators, if necessary.

##### **Onsite Visits**

No onsite visits were made during the VDMR process.

##### **Entrance and Exit Telephone Conferences**

An introductory telephone meeting with Mr. Dossantos took place on November 22, 2022. An exit telephone meeting took place on December 16, 2022, to inform him of the final standing of the monitoring review.

## Interviews

FloridaMakes, Inc. Executive Coordinator (Marcello Dossantos) was available via teleconference for interviews, if necessary.

## Records Review

Due to the unique nature of the FloridaMakes grants, only “CTE Core Activity - Objective 1.e - Career and Technical Student Organizations” and their submitted deliverables/supporting documentation were reviewed as a part of the VDMR.

## **VII. OBSERVATIONS**

**A. ADMINISTRATION** refers to the management and supervision of programs, the structure of programs and services, grant oversight and other administrative areas.

- FLATE is dedicated to continuously improving manufacturing and engineering technology education: the only way to respond to their stakeholders' workforce capacity and skills needs is to continuously monitor their needs and devise innovative ways to meet them. The team lead was Ernie Friend, Director of Florida Advanced Technical Education.
- The Executive Director works alongside the Board of Directors to ensure all aspects of their grant(s) are achieved promptly and efficiently.
- The role of the Executive Director includes, but is not limited to:
  - Working with the Board of Directors.
  - Plan, coordinate and conduct Professional Development Conferences.
  - Supervise state-wide Regional Manufacturing Association (RMA) Meetings.
  - Timely and accurate submission of grant deliverables to FDOE.
  - Maintain accurate financial records and accounts.

**B. CURRICULUM AND INSTRUCTION** refer to elements contributing to student learning and skill acquisition.

- FLATE continues to be the go-to organization for manufacturing and advanced technical education, best practices and resources supporting the high-performance skilled workforce for Florida's manufacturing sectors. FLATE provides exemplary industry partnerships, workforce opportunity and educational synergy throughout Florida by connecting industry and workforce needs to targeted educational endeavors at many community and state colleges across Florida.
- FloridaMakes purchased On24 Virtual Event Platform for conducting online events. Also, they added an Engagement Hub specifically for hosting Manufacturing Month. Leadership conferences and regional training institutes allowed chapter officers to gain invaluable communication and teamwork skills utilized while leading their local chapters.

**C. RECORDS REVIEW** refers to reviewing the records and documents that evidence compliance with federal and state rules and regulations. In addition, a sampling of financial and programmatic records is reviewed.

- FloridaMakes quarterly deliverables for 2019-20 to 2020-21 were examined as part of their monitoring review. The following items comprised the deliverables submitted to the FDOE grant manager:
  - Financial and bank statements

- State conference records
- Board of Director meeting minutes, agendas, etc.
- Annual operating budgets
- Leadership training records
- Travel records
- State officer election records
- Community and stakeholder outreach
- Membership applications and materials
- Correspondence between Skills and state-wide stakeholders
- State-wide training materials
- Internal controls and procedures
- Financial policies and procedures

**D.** **FINANCIAL** refers to aspects of the federal fiscal requirements that providers must meet when expending federal funds, including financial management, procurement, inventory management and allowable costs.

- QuickBooks® is used as the accounting and financial management system for FloridaMakes.
- FloridaMakes has policies and procedures in place for accounting practices, budgeting and the reporting of financial data. Procedures for contractual agreements, procurement and travel were also provided as part of the VDMR.
- Contracted vendors or services are thoroughly vetted prior to entering into any agreement with FloridaMakes. Once a contract agreement is in place, a scope of work is agreed upon and the contractor is paid based on the completion of the approved tasks.
- FloridaMakes enters into contractual agreements with numerous vendors, including hotels and event venues. Appropriate documentation was included in their quarterly deliverables submitted to their FDOE program manager.
- The majority of the FLATE Perkins grant goes towards the executive director’s salary and travel.
- FloridaMakes submitted their quarterly deliverables in a timely manner to FDOE and were paid appropriately for the level of work represented in the records and documentation.
  - No other deliverables were rejected or paid at a lesser rate than what was authorized in their grants.

**E.** **COLLABORATION** refers to the collaborative agreements, partnerships or memoranda of understanding (MOU) that are in place to benefit an agency's programs and students.

- FloridaMakes has numerous collaborations and partnerships throughout the state of Florida. These partnerships help to support the operations of the organization. The list of partners include, but is not limited to:
  - Aluminum Distributing, Inc.
  - Ameritape, Inc.
  - IM Solutions
  - Karins Engineering Group, Inc.
  - Neptune Research, Inc.

## **VIII. RESULTS**

FloridaMakes was not found to be out of compliance. Documentation was up to date and deliverables were submitted within a reasonable timeframe to the FDOE.

## **IX. SUMMARY**

Once the VDMR is completed, including receipt of additional requested information when applicable, a preliminary report is forwarded to the provider for review. Comments are accepted and will be considered at the discretion of the FDOE monitoring team lead. Once the final report is approved, it will be forwarded to the agency head, with a copy sent to the provider's designated contact person. The final report will be posted on the department's website at the following address:  
<http://fldoe.org/academics/career-adult-edu/compliance>.

Finally, the division issues a closure letter to the agency head and designated contact person. This letter indicates that all outstanding resolution items have been completed, when applicable, and that no further action is required. This letter will officially end your monitoring process.

On behalf of the department, the monitoring team extends its appreciation to all participants in the FloridaMakes, Inc. virtual desk monitoring review. Special thanks are offered to Ernie Friend for his participation and leadership during this process.

**APPENDIX A**

FloridaMakes, Inc.  
FLATE  
Risk Matrix

<b>Risk Scores Matrix for a Non-College or Non-School District Receiving Career and Technical Education (CTE) Carl D. Perkins Grants</b>					
Agency Name: <b>FloridaMakes, Inc.</b>					
Program type: <b>CTE</b>					
Monitoring Year: <b>2022-2023</b>					
<b>Metric</b>	<b>Scaling</b>	<b>Point Value</b>	<b>Points Assigned</b>	<b>Weight</b>	<b>Total Metric Points</b>
<b>Number of Years Since Last Monitored</b>	7 or More Years	7	7	<u>X 10</u>	70
	5-6	5			
	3-4	3			
	0-2	1			
<b>Total Budget for all Perkins Grants Combined</b>	Upper Quartile	7	7	<u>X 8</u>	56
	Upper Middle	5			
	Lower Middle	3			
	Lower Quartile	1			
<b>Number of Perkins Grants</b>	4 or More	7	1	<u>X 8</u>	8
	3	5			
	2	3			
	1	1			
<b>Agency CTE Program Director Change from Previous Fiscal Year</b>	Yes	7	7	<u>X 6</u>	42
	No	0			
<b>Unexpended Funds from all Perkins Grants Combined</b>	Upper Quartile	7	7	<u>X 4</u>	28
	Upper Middle	5			
	Lower Middle	3			
	Lower Quartile	1			
	0	0			
<b>AGENCY RISK SCORE:</b>					<b>204</b>

Data sources used for calculations: Prior to July 1, 2021





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